

RESOLUTION NO. 2006-28

RESOLUTION OF THE BOARD OF DIRECTORS OF THE SAN DIEGO COUNTY
WATER AUTHORITY APPROVING AMENDMENTS TO THE SAN DIEGO
COUNTY WATER AUTHORITY LOCAL CONFLICT OF INTEREST CODE

Whereas, on August 24, 2006 the Board of Directors set September 28, 2006 as the date for the Administrative and Finance Committee to hold a public hearing to consider amendments to the San Diego County Water Authority Local Conflict of Interest Code; and

Whereas, on September 28, 2006 the Administrative and Finance Committee held a public hearing to consider amendments to the San Diego County Water Authority Local Conflict of Interest Code; and

Whereas, following the public hearing the Administrative and Finance Committee recommended adoption of amendments to the San Diego County Water Authority Local Conflict of Interest Code;

Now, therefore, the Board of Directors of the San Diego County Water Authority resolves:

1. The San Diego County Water Authority Local Conflict of Interest Code Appendix (2006 Update), attached hereto, is approved.
2. The General Counsel is directed to provide a certified copy of this resolution to the Clerk of the Board of Supervisors.

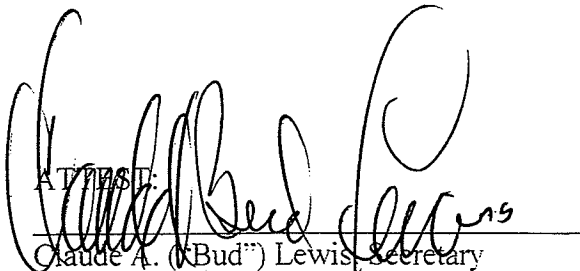
PASSED, APPROVED AND ADOPTED, on this 28th day of September, 2006 by the following vote:


AYES: Unless noted below all Directors voted aye.

NOES:

ABSTAIN:

ABSENT: Quist (p) and Rhinerson


ATTEST:
Claude A. (Bud) Lewis, Secretary
Board of Directors


James H. Bond, Chairman
Board of Directors

Designated Positions

Disclosure Categories

General Manager's Office

Assistant General Manager	1, 2, 3, 4
Deputy General Manager	1, 2, 3, 4
Executive Administrative Assistant	8
Special Projects Manager	5, 6, 7

General Counsel's Office

General Counsel	1, 2, 3, 4
Assistant General Counsel	1, 2, 3, 4
Deputy General Counsel	1, 2, 3, 4
Legal Administrative Assistant	8

Administrative Services Department

Director of Administrative Services	1, 2, 3, 4
Clerk of the Board	7
Deputy Clerk of the Board	7

Assistant Management Analyst	8
Data Base Administrator	7
Facilities Services Technician	7

Information Systems Supervisor	7
Information Systems Manager	7

Purchasing Manager	1, 2, 3, 4
Purchasing Technician I and II	8
Risk Manager	5, 6, 7
Safety Officer	8
Senior Management Analyst	5, 6, 7

Small Contractor Program Manager	5, 7
Supervising Management Analyst	5, 6, 7
Warehouse Supervisor	7

Engineering Department

Director of Engineering	1, 2, 3, 4
Assistant Director of Engineering	1, 2, 3, 4
Assistant Management Analyst	5, 6, 7
Administration and Controls Manager	1, 2, 3, 4

Engineer (P.E.)	5, 6, 7
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Local Conflict of Interest Code Appendix
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Engineer I	5, 6, 7
Engineer II	5, 6, 7
Engineering Manager	1, 2, 3, 4
Engineering Technician I	5
Engineering Technician II	5

Management Analyst	5, 6, 7
Principal Engineer	1, 2, 3, 4
Program Control Manager	5, 6, 7
Senior Engineer	5, 6, 7
Senior Engineering Technician	5, 6, 7

Supervising Administrative Assistant	8
Supervising Engineering Tech.	5, 6, 7
Supervising Management Analyst	5, 6, 7

Finance Department

Controller	1, 2, 3, 4
Deputy Director of Finance	1, 2, 3, 4
Budget Officer	8
Accounting Supervisor	8
Accountant	8
Assistant Management Analyst	8
Investment Analyst	8
Rate and Debt Administrator	1, 2, 5
Financial Analyst	1, 2

Financial Services Manager/Asst. Treasurer	1, 2, 3, 4
Senior Accountant	8

Human Resources Department

Director of Human Resources	2, 5, 7
Senior Human Resources Analyst	7

Imported Water Department

Director of Imported Water	1, 2, 3, 4
Assistant Management Analyst	5, 6, 7
Senior Engineer	5, 6, 7
Inter-agency Program Manager	6, 7
Principal Water Resources Specialist	6, 7
Senior Water Resource Specialist	6, 8
Water Resource Specialist	6, 8
Water Resources Manager	5, 6, 7

Public Affairs Department

Director of Public Affairs	1, 2, 3, 4
Education Programs Supervisor	7
Education Programs Specialist	8
Government Relations Manager	5, 6, 7
Public Affairs Manager	5, 6, 7
Public Affairs Supervisor	7
Public Affairs Representative II	8
Public Affairs Representative I	8
Senior Public Affairs Representative	7

Operations and Maintenance Department

Director of Operations and Maintenance	1, 2, 3, 4
Electrical/Electronics Supervisor	7
Fleet Maintenance Supervisor	7
Management Analyst	5, 7
Operations and Maintenance Manager	5, 7
Senior Electrical/Electronics Technician	7
Senior Engineering Technician	7
Senior Maintenance Technician	7
Supervising Management Analyst	5, 7
Senior System Operator	8
Systems Operations Supervisor	7
System Maintenance Supervisor	7

Right of Way Department

Director of Right of Way	1, 2, 3, 4
Engineering/Right of Way Technician I	5
Engineering/Right of Way Technician II	5
Supervising Land Surveyor	5, 7
Right of Way Supervisor	5, 7
Right of Way Agent	5, 7
Right of Way Inspector	5
Senior Right of Way Agent	5, 7
Senior Right of Way Inspector	5, 7
Senior Survey Technician	5, 8
Survey Technician	5, 8

Water Resources Department

Director of Water Resources	1, 2, 3, 4
Senior Civil Engineer	5, 6, 7
Water Resources Manager	5, 6, 7
Principal Water Resources Specialist	5, 6, 7

Water Resources Specialist	5, 6, 7
Senior Water Resources Specialist	5, 6, 7

Consultants

Fair Political Practices Commission regulation [2 Cal. Code of Regs. section 18701(a)] defines "consultant" as an individual whom, pursuant to a contract with a state or local governmental agency:

(a) Makes a governmental decision whether to:

- (1) Approve a rate, rule or regulation;
- (2) Adopt or enforce a law;
- (3) Issue, deny, suspend, or revoke a permit, license, application, certificate, approval, order, or similar authorization or entitlement;
- (4) Authorize the agency to enter into, modify, or renew a contract provided it is the type of contract that requires agency approval;
- (5) Grant agency approval to a contract which requires agency approval and in which the agency is a party or to the specifications for such a contract;
- (6) Grant agency approval to a plan, design, report, study, or similar item;
- (7) Adopt, or grant agency approval of, policies, standards, or guidelines for the agency, or for any subdivision of the agency; or

(b) Serves in a staff capacity with the agency and in that capacity performs the same or substantially all the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agency's local conflict of interest code.

Disclosure required:

Disclosure required by consultants shall be determined on a case-by-case basis by the General Manager, or in the case of legal service contracts by the General Counsel. The General Manager, in consultation with the General Counsel, or General Counsel may determine whether a person is a consultant and what disclosure, if any, is required by the consultant. The determination shall be in writing and shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The written determination is a public record and shall be retained for public inspection in the office of the Clerk of the Board. The written determination may be made as a part of the contract pursuant to which the consultant provides services to the Authority. Subject to the foregoing, consultants that meet the definition of paragraph (b), above, generally will disclose financial interests in the same manner as is required for the designated position the duties of which are performed, in whole or in part, by the consultant.

Authority is a designated position, is a "designated employee" for the purposes of the local conflict of interest code. Designated employees shall disclose in the manner provided in the local conflict of interest code, those reportable financial interests which are within each of the categories represented by the numerals following each listed position. Each disclosure category is identified by a number and is described below. Disclosure requirements for interests in each disclosure category are set forth in subsection (b)(7) [Manner of Reporting] of 2 Cal. Code of Regs. Section 18730.

Category Number	Reportable Financial Interests
1	All investments
2	All sources of income
3	All interests in real property
4	All business positions
5	Investments and business positions in business entities, and sources of income, engaged directly or indirectly, in land development, construction, civil engineering, environmental consulting, land planning, real estate (including without limitation brokerage, sales, marketing, leasing, appraisal, and lending), commercial lending, banking or farming.
6	Investments and business positions in business entities, and sources of income, engaged, directly or indirectly, in development, distribution, transfer, conservation, storage, treatment, reclamation or marketing of water.
7	Investments and business positions in business entities, and sources of income, engaged in architecture, engineering, accounting, auditing, law, public relations, provision of temporary employees, office products, telecommunications, computer hardware and software, personnel services, management services, or in the manufacture, production, vending or servicing of goods, materials, machinery or equipment of the type routinely used or purchased by public water agencies; including those entities and sources within the scope of category 8.
8	Investments and business positions in business entities, and sources of income who have contracted with the Authority within the past two years or who in the future may foreseeably contract with the Authority to provide services, supplies, materials, machinery or equipment. Reporting under this category shall apply to those contracts for services, supplies, materials, machinery or equipment acquisition the solicitation, negotiation, or administration of which are within the scope of regular and customary job duties of the employee.